P. 001

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dirk LEINWEBER et al.

Attorney Docket: 2003DE428

Serial No.:

10/559,719

Filed:

December 5, 2005

For:

Alkoxylated, Cross-Linked Polyglycerols And Use Thereof As

Biodegradable Demulsifier

## CERTIFICATION OF FACSIMILE TRANSMISSION

Mail Stop: Missing Parts Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office (Fax No.: 1-571-273-8300) on the date shown below:

- Copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated 04/12/2006 (2 pages)
- Response to Notification of Missing Requirements Under 35 U.S.C. 372 in The United States Designated Elected Office (DO/EO/US) with Petition For Extension Of Time Under 37 CFR 1.136(A) paragraph
- 3. Copy Of Decision Dated 30 May 2006 (2 Page)
- 4. Copy of Petition Under 37 CFR 1.182 and filed with USPTO on June 13, 2006 (2 Pages)
- Copy of Affidavit In Support Of Petition Under 37 CFR 1.182 and filed with USPTO on June 13, 2006 (1 Page)

Total Pages including Certification of Transmission:

Vicki L. Sgro:

Date: July 3, 2006

P. 002 RECEIVED

CENTRAL FAX CENTER

JUL 0 3 2006

Docket No. <u>2003DE428</u>

**Patent** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dirk LEINWEBER et al.

Attorney Docket: 2003DE428

Serial No.: 10/559,719

Filed:

December 5, 2005

For:

Alkoxylated, Cross-Linked Polyglycerols And Use Thereof As

Biodegradable Demulsifier

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS
UNDER 35 U.S.C. 371 IN THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)

Mail Stop PCT (DO/EO/US) Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

### Dear Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US), (Form PCT/DO/EO/905) dated April 12, 2006, submitted herewith for filing in the above-identified application is the Affidavit in Support of Petition to Change Name of Inventor. The purpose for the change of name was a result of marriage. A copy of the Notification Of Missing Requirements Under 35 U.S.C. 371 In The United States Designated/Elected Office (DO/EO/US) Dated 04/12/2006 relating to this application is enclosed. We are also enclosing the following documents which were submitted with the office on June 13, 2006 and the appropriate fee of \$400.00 was paid for the Petition for Change in Name of Inventor:

- 1. Copy Of Decision Dated 30 May 2006 (2 Page)
- 2. Petition Under 37 CFR 1.182 (2 Pages)

### CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a) and 1.10

I hereby certify that this correspondence Is, on the date shown below, Is being transmitted by facsimile to Centralized Facsimile Number, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, Fax No. 571-273-8300 ( \_\_\_\_\_pages)

Vicki L. Sgro:\_

Date: July 3, 2006

# RECEIVED CENTRAL FAX CENTER

JUL 0 3 2006

- Attorney Docket No. 2003DE428 Serial No.: 10/559,719
- 3. Affidavit In Support Of Petition Under 37 CFR 1.182 (1 Page)
- 4. Petition For Extension Of Time Under 37 CFR 1.136(A) (With Duplicate 2 Pages) for late response to Notification of Missing Requirements

Pursuant to 37 CFR 1.136(a) Applicants hereby requests a one-month extension of time for responding to the RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS dated April 12, 2006. The Commissioner is authorized to charge the fee of \$120.00 or whatever fee may be required for such an extension to Account No. 03-2060 for which purpose a <u>duplicate</u> copy of this sheet is attached.

The Commissioner is hereby authorized to charge the surcharge due (37 CFR 1.16(e)) in the amount of \$130.00 to Deposit Account No. 03-2060. The Commissioner is also authorized to charge any fee deficiency asserted to be paid, or which should have been paid herewith, or with any paper hereafter filed in this application and credit any fee overpayment to Deposit Account No. 03-2060.

Respectfully submitted,

Anthony Al Bisulca Attorney for Applicant Registration No. 40,913

(CUSTOMER NUMBER 25,255)
Clarient Corporation

Industrial Property Department 4000 Monroe Road Charlotte, NC 28205 Phone 704 331-7151 Fax 704 331-7707 JUL 0 3 2006

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#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCY United States Patent and Trademark Office Address COMMOSSIONER FOR PATENTS

> P.C. Hox 1430 Alexandris, Virginia 22313-1450 www.himlo.hoV

ATTY, DOCKET NO. FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. 2003DE428 10/559,719 Dirk Leinweber INTERNATIONAL APPLICATION NO. PCT/EP04/05587 25255 LA. FILING DATE PRIORITY DATE CLARIANT CORPORATION 05/25/2004 06/04/2003 INTELLECTUAL PROPERTY DEPARTMENT 4000 MONROE ROAD

6-12-06

Date Mailed: 04/12/2006

CHARLOTTE, NC 28205

CONFIRMATION NO. 3847 371 FORMALITIES LETTER

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/05/2005
- English Translation of the IA filed on 12/05/2005
- Copy of the International Search Report filed on 12/05/2005
- Preliminary Amendments filed on 12/05/2005
- Oath or Declaration filed on 12/05/2005
- Request for Immediate Examination filed on 12/05/2005
- U.S. Basic National Fees filed on 12/05/2005
- Assignment filed on 12/05/2005
- Priority Documents filed on 12/05/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

 Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:

The fourth inventor's last name is different than on the International Application.

To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the
missing Items identified in this letter.

SUMMARY OF FEES DUE:

Page 2 of 2

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

### KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/559,719	PCT/EP04/05587	2003DE428

FORM PCT/DO/EO/905 (371 Formalities Notice) -